

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. T1592
First Inventor or Application Identifier Simon Charles Goodacre
Title IMIDAZO[4,5-c]PYRIDIN-4-ONE ANALOGUES AS GABAA RECEPTOR LIGANDS
Express Mail Label No. EV321988225 US

22864 U.S. PTO
10/697210
103003

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 39]
3. ☐ Drawing(s) (35 USC 113) [Total Sheets]
4. Oath or Declaration
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 14 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).
5. ☐ Application Data Sheet. See 37 CFR 1.76
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☒ Preliminary Amendment
11. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. ☐ Other: _____

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ____ / ____

Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

15. CORRESPONDENCE ADDRESS

☒ Customer Number

Customer No. 000210

| | | | | | |
|---------|---|-----------|--------------|----------|--------------|
| NAME | J. Eric Thies | | | | |
| ADDRESS | Merck & Co., Inc., P. O. Box 2000 - Patent Dept., RY60-30 | | | | |
| CITY | Rahway | STATE | NJ | ZIP CODE | 07065-0907 |
| COUNTRY | USA | TELEPHONE | 732-594-3904 | FAX | 732-594-4720 |

| | | | |
|-----------|----------------------|-----------------------------------|------------|
| Name | J. Eric Thies | Registration No. (Attorney/Agent) | 35,382 |
| Signature | <i>J. Eric Thies</i> | Date | 10/30/2003 |

EXPRESS MAIL CERTIFICATE

DATE OF DEPOSIT October 30, 2003
EXPRESS MAIL NO. EV 321988225 US

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL "POST OFFICE TO ADDRESSEE" ON THE ABOVE DATE IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

MAILED BY *Kathy Klatowski* DATE October 30, 2003

FEE TRANSMITTAL

Patent fees are subject to annual revision.

Complete if Known

| | |
|------------------------|------------------------|
| Application Number | |
| Filing Date | October 30, 2003 |
| First Named Inventor | Simon Charles Goodacre |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket Number | T1592 |

TOTAL AMOUNT OF PAYMENT \$770

METHOD OF PAYMENT

☒ Deposit Account

Deposit Account Number 13-2755

Deposit Account Name Merck & Co., Inc.

The Director is authorized to:

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|------------------------|----------|
| 1001 | 770 | Utility filing fee | 770 |
| 1002 | 340 | Design filing fee | |
| 1004 | 770 | Reissue filing fee | |
| 1005 | 160 | Provisional filing fee | |

SUBTOTAL(1) \$770

2. EXTRA CLAIM FEES

| | Extra | Fee from below | Fee Paid |
|--|-------|----------------|----------|
| Total Claims 10 - 20 ** = 0 x \$18 = 0 | | | |
| Independent Claims 1 - 3 ** = 0 x \$86 = 0 | | | |
| Multiple Dependent Claims | | \$290 = | |

**or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) | Fee Description |
|----------------|-----------------|---|
| 1202 | 18 | Claims in excess of 20 |
| 1201 | 86 | Independent claims in excess of 3 |
| 1203 | 290 | Multiple dependent claim, if not paid |
| 1204 | 86 | **Reissue independent claims over original patent |
| 1205 | 18 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL(2) \$0

FEE CALCULATION (continued)


3. ADDITIONAL FEES

| Fee Code | Large Entity Fee (\$) | Fee Description | Fee Paid |
|---------------------------|-----------------------|--|----------|
| 1051 | 130 | Surcharge - late filing fee or oath | |
| 1812 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 1251 | 110 | Extension for reply within first month | |
| 1252 | 420 | Extension for reply within second month | |
| 1253 | 950 | Extension for reply within third month | |
| 1254 | 1,480 | Extension for reply within fourth month | |
| 1255 | 2,010 | Extension for reply within fifth month | |
| 1401 | 330 | Notice of Appeal | |
| 1402 | 330 | Filing a brief in support of an appeal | |
| 1403 | 290 | Request for oral hearing | |
| 1452 | 110 | Petition to revive - unavoidable | |
| 1453 | 1,330 | Petition to revive - unintentional | |
| 1501 | 1,330 | Utility issue fee (or reissue) | |
| 1502 | 480 | Design issue fee | |
| 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | Submission of Information Disclosure Statement | |
| 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 770 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 770 | Request for Continued Examination (RCE) | |
| Other fee (specify) _____ | | | |
| Other fee (specify) _____ | | | |

SUBTOTAL(3) \$0

SUBMITTED BY

Complete (if applicable)

| | | | |
|-----------------------|---|-------------------------|------------|
| Typed or Printed Name | J. Eric Thies | Reg. Number | 35,382 |
| Signature |  | Date | 10/30/2003 |
| | | Deposit Account User ID | |

IN DUPLICATE

Application Number: _____
Filing Date: 10/30/2003
First Named Inventor: Simon Charles Goodacre
Group Art Unit: _____
Examiner Name: _____
Attorney Docket Number: T1592

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MAILED BY Kathy Klotkowski DATE 10/30/2003